File No.: 16740-2US SC/JP/mp

Montréal, Canada

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Juan Schneider et al.

Atty. Docket No.

16740-2US JP/mp

Application No.

New

Filed:

N/A

Title:

CONTINUOUS PRODUCTION OF CARBON NANOTUBES

Art Unit:

Unknown

### INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. § 1.97**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on a PTO-1449 form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

#### **Statement of Relevancy**

The listed document is being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application, or before the mailing of a first Office Action on the merits; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an Office Action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97(e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submission under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed list of references is hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

Respectfully submitted,

June 22, 1006

Date

Agent of the Applicant

Sébastien Clark, Reg. No. 56,651

**OGILVY RENAULT** 

Customer Number: 020988

## IAP20 Rec'd PCT/PTO 22 JUN 2006

PTO/SB/08A/B 08-03)

Approved for use through 07/31/2008. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449PTO				Complete if Known					
1				Application Number	400 /501156				
INFORMATION DISCLOSURE			CLOSURE	Filing Date	701204720				
STA	STATEMENT BY APPLICANT			First Named Inventor	Juan Schneider et al.				
				Art Unit					
	(use as many sheets as necessary)			Examiner Name					
Sheet 1 of 2		Attorney Docket Number	16740-2US JP/mp						

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (# Incom)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear			
		2002/0150524 A1	October 17, 2002	Smalley et al.				
		2003/0111334 A1	June 19, 2003	Dodelet et al.				
		6,616,986 B2	September 9, 2003	Sherman				
		2003/0211030 A1	November 13, 2003	Olivier et al.				
		2004/0036403 A1	February 26, 2004	Ono et al.				
			<u> </u>					
			<u> </u>					
	_		<u> </u>					
			<u> </u>					
			<u> </u>					

FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	Т		
Initials*	No.1	Country Code3 - Number4 - Kind Code5 (# Imown)	MM-DD-YYYY	Applicant Of Cited Document	Where Relevant Passages or Relevant Figures Appear	٠,		
		EP 678 345 A1	October 25, 1995	Canon Kabushiki Calsha				
		WO 99/25652	May 27, 1999	Moskovits et al.				
		EP 1 096 533 A1	May 2, 2001	Lucent Technologies Inc.		Т		
		WO 01/89716 A2	November 29, 2001	Nano World Projects Corporation				
		WO 02/081366 A1	October 17, 2002	Commonwealth Scientific and Industrial research Organisation				
		WO 99/06618	February 11, 1999	Hyperion International, Inc.				
		WO 99/06618	February 11, 1999	Hyperion International, Inc.		_		

Examiner	Date	
Signature	 Considered	
	 _	·

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to

document. "King or document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible." Applicant is to place a check mark here if English language Translation is

This collection of information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file
(and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2
hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the
Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to
the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR
COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria VA 22313-1450. DOCSMTL: 11 20020000 assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# AP20 Rec'd PCT/PTO 22 JUN 2006

PTO/SB/08A/B 08-03)

Approved for use through 07/31/2008. OMB 0851-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute fo	r form 1449PTO			Complete If Known							
				Application Number	New*	n	7	5	XA		20
INF	DRMATIO	N DIS	CLOSURE	Filing Date	20,000						
STA	TEMENT	BY A	First Named Inventor	Juan S	chne	ide	r et :	aj.			
				Art Unit							
	(use as many sheets as necessary)			Examiner Name							
Sheet	2	of	2	Attorney Docket Number	er 16740-2US JP/mp						

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published						
		W. Z. Li et al., Large-Scale Synthesis of Aligned Carbon Nanotubes, Science, Vol. 274, December 6, 1996, pages 1701-1703					
		O. SMILJANIC et al., Growth of Carbon Nanotubes on Ohmically Heated Carbon Paper, Chemical Physics Letters, 342, 2001, pages 503-509					

Examiner	Date	
Signature	Considered	

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

DOCSMTL: 2/2933960 assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.